

Docket No.

292214US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Mitsuo WADA, et al.

SERIAL NO:

10/591,767

GAU:

FILED:

September 1, 2006

EXAMINER:

FOR:

PHTHALOCYANINE COMPOSITION, AND PHOTOCONDUCTIVE MATERIAL, ELECTROPHOTOGRAPHIC PHOTORECEPTOR, ELECTROPHOTOGRAPHIC PHOTORECEPTOR CARTRIDGE, AND IMAGE-FORMING

APPARATUS EACH EMPLOYING THE COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 (Modified) | ı | U.S. DEPARTOR | OF COMMERCE ADEMARK OFFICE | 292214US0PCT | | SERIAL NO. 10/591,767 | |
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| LIST OF REFERENCES CITED BY APPLICANT | | | | Mitsuo WADA, et al. | | | |
| | | | | FILING DATE | | GROUP | |
| | | | | September 1, 2006 | | <u> </u> | |
| | | | | U.S. PATENT DOCUMENTS | | | |
| EXAMINER INITIAL | | DOCUMENT DATE NAME CLAS | | CLASS | SUB CLASS | | |
| | AA | 3 357 989 | 12-12-67 | BYRNE, John F. | | | |
| | AB | | | | † | | |
| | | | FO | DREIGN PATENT DOCUMENTS | -1 | <u> </u> | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | TRANSLATION S NO | |
| | AC | 6 212089 | 08-02-94 | JP (with English abstract) | | YES | NO NO |
| | AD | 9 258466 | 10-03-97 | JP (equivalent of US 5 759 726) | | | NO |
| | AE | 62 67094 | 03-26-87 | JP (with English abstract) | | | NO |
| | AF | 5 98181 | 04-20-93 | JP (with English abstract) | | | NO |
| | AG | 5 263007 | 10-12-93 | JP (equivalent of US 5 302 479) | | | NO |
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| | AI | 9 120171 | 05-06-97 | JP (equivalent of US 5 534 376) | | | NO |
| | AJ | 10 48859 | 02-20-98 | JP (with English abstract) | | | NO |
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| | AL | 4 372663 | 12-25-92 | JP (equivalent of US 5 292 604) | | | NO NO |
| " | АМ | 2000 313819 | 11-14-00 | JP (with English abstract) | | | NO |
| | AN | 202 244321 | 08-30-02 | JP (with English abstract) | | | |
| | AO | 6 175382 | 06-24-94 | JP (with English abstract) | | | NO NO |
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| | AR | 61 239248 | 10-24-86 | JP (with English abstract) | | | NO |
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| | AU | 6 287189 | | JP (with English abstract) | \longrightarrow | | NO |
| | AV | 2 8256 | 1 | JP (with English abstract) | | | NO |
| | AW | 2 289658 | | JP (with English abstract) | | | NO |
| | AX | 7 271073 | | JP (with English abstract) | | | NO |
| | AY | 3 128973 | | JP (equivalent of US 5 132 197) | | | NO |
| 1 | | OTHER RE | | ncluding Author, Title, Date, Pertinent | Pages, etc | | 140 |
| | | | | | | | |
| | AZ | | | | Addition | onal Refere | ences sheet(s) attached |
| Examiner ————— | | | | Date Considered | | | |
| Examiner: Init | tial if re | eference is considered, at considered. Include co | whether or not o | citation is in conformance with MPEP 609 with next communication to applicant. |); Draw line | through c | itation if not in |

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2 SHEET OF 2 'ġ U.S. DEPARTMENT BECOMMERCE ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) PATENT AND TRADEMARK OFFICE 292214US0PCT 10/591,767 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Mitsuo WADA, et al. **FILING DATE GROUP** September 1, 2006 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT FILING DATE SUB DATE NAME **CLASS** INITIAL NUMBER CLASS IF APPROPRIATE AAA AAB AAC AAD **FOREIGN PATENT DOCUMENTS DOCUMENT** TRANSLATION DATE COUNTRY NUMBER YES NO AAE 1 221459 09-04-89 JP (with English abstract) NO AAF 11 172142 06-29-99 JP (with English abstract) NO AAG | 6 279698 10-04-94 JP (equivalent of US 5 508 395) NO AAH 2002 235014 08-23-02 JP (with English abstract) NO AAI 10 88023 04-07-98 JP (equivalent of US 5 910 384) NO AAJ 2000 219817 08-08-00 JP (with English abstract) NO AAK 4 351673 12-07-92 JP (with English abstract) NO AAL 5 186702 07-27-93 JP (equivalent of US 5 336 578) NO AAM 6 234937 08-23-94 JP (with English abstract) NO AAN 8 41373 02-13-96 JP (with English abstract) NO AAO 6 145550 05-24-94 JP (with English abstract) NO AAP 3 9962 01-17-91 JP (equivalent of US 5 213 929) NO AAQ 2002 251026 09-06-02 JP (with English abstract) NO AAR AAS AAT AAU AAV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AAW AAX AAY AAZ Additional References sheet(s) attached Examiner **Date Considered**

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/591,767

Filed: September 1, 2006 Mitsuo WADA, et al.

Docket No. 292214 US

STATEMENT OF RELEVANCY

- 1) References AC AD have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AE-AY and AAE AAQ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.